

Claims

- Part 1*
- [c1] 1. A method for managing concentration of debt, the method comprising:
determining a debt concentration threshold; and
associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold.
- [c2] 2. A method according to claim 1, further comprising issuing the debt instrument with the associated condition.
- [c3] 3. A method according to claim 1, further comprising changing at least one parameter of the debt instrument.
- [c4] 4. A method according to claim 3, wherein changing at least one parameter occurs when an entity holds more debt instruments than the debt concentration threshold.
- [c5] 5. A method according to claim 1, further comprising monitoring concentration of debt instruments by entities.
- [c6] 6. A method according to claim 1, further comprising changing the debt concentration threshold.
- [c7] 7. A method according to claim 1, wherein the entity is an investor.
- [c8] 8. A method according to claim 7, wherein the investor is an individual investor.
- [c9] 9. A method according to claim 7, wherein the investor is an institutional investor.
- [c10] 10. A method according to claim 1, wherein the entity is an affiliated group of investors.
- [c11] 11. A method according to claim 1, wherein the entity is a group of investors acting in concert.
- [c12] 12. A method according to claim 1, wherein associating the condition with the debt instrument occurs before issue of the debt instrument.

- [c13] 13. A method according to claim 1, wherein associating the condition with the debt instrument occurs after issue of the debt instrument.
- [c14] 14. A method according to claim 1, wherein the parameter changed by the condition serves to subordinate the debt instrument to other debt instruments.
- [c15] 15. A method according to claim 1, wherein the parameter changed by the condition serves to restrict voting.
- [c16] 16. A method according to claim 1, wherein the parameter changed by the condition serves to restrict redemption of the debt instrument.
- [c17] 17. A method according to claim 1, wherein the parameter changed by the condition serves to change the series of the debt instrument.
- [c18] 18. A method for managing concentration of debt, the method comprising:
determining a debt concentration threshold;
associating a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold;
issuing the debt instrument with associated condition;
monitoring concentration of debt instruments by entities; and
changing at least one parameter of the debt instrument.
- [c19] 19. A method for managing concentration of debt, the method comprising:
issuing a debt instrument;
determining a debt concentration threshold;
associating a condition with the debt instrument after issue of the debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold;
monitoring concentration of debt instruments by entities; and
changing at least one parameter of the debt instrument.
- [c20] 20. Computer executable software code transmitted as an information signal, the code for managing concentration of debt, the code comprising:

[c21] 21. A computer readable medium having computer executable software code stored thereon, the code for managing concentration of debt, the code comprising:

- code to determine a debt concentration threshold; and
- code to associate a condition with a debt instrument, the condition changing at least one parameter of the debt instrument, the condition available when an entity holds more debt instruments than the debt concentration threshold.

code to determine a debt concentration threshold; and
code to associate a condition with a debt instrument, the condition
changing at least one parameter of the debt instrument, the condition
available when an entity holds more debt instruments than the debt
concentration threshold.